



JFW

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/828,771
	Filing Date	April 21, 2004
	First Named Inventor	Li Chen
	Art Unit	1624
	Examiner Name	Raymond, Richard L.
Total Number of Pages in This Submission	Attorney Docket Number	11590 US4

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	PTO Form 1449; References	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Dennis P. Tramaloni
Signature	
Date	June 30, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Dennis P. Tramaloni		
Signature		Date	June 30, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

L. Chen, et. al.

Group: 1624

Serial No. 10/828,771, filed April 21, 2004
Docket No. 11590 US4

Examiner: Raymond, Richard L.

For: N-ALKANOYPHENYLALANINE DERIVATIVES

INFORMATION DISCLOSURE STATEMENT

Nutley, New Jersey 07110
June 30, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents B8 – B15 listed on Form PTO-1449 are enclosed. Document A1 is not being submitted because it is a US Patent. Documents B1 – B7 and C1 – C5 are not being submitted because each has been made of record in the parent applications, Serial No. 09/138,353, filed August 21, 1998, and Serial No. 10/117,616, filed April 5, 2002, now pending.

Consideration of all the documents cited on Form PTO-1449 is requested.

Serial No. 10/828,771
Filed: April 21, 2004

Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application, no fee is due.

Respectfully submitted,



Attorney for Applicant(s)
Dennis P. Tramaloni
(Reg. No. 28,542)
340 Kingsland Street
Nutley, New Jersey 07110
Telephone: (973) 235-4475
Telefax: (973) 235-2363

143755

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

Complete if Known

Application Number	10/828,771
Filing Date	April 21, 2004
First Name Inventor	Chen et al.
Group Art Unit	1624
Examiner Name	Raymond, Richard L.
Attorney Docket Number	11590 US4

Sheet 1 of 2

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	A1	6,455,550		CHEN, et. al.	09/24/2002

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
	B1	DE	19548709		MERCK PATENT GMBH	07/03/1997	
	B2	WO	97/36862		G.D. SEARLE & CO	10/09/1997	
	B3	WO	95/35296		TAKEDA CHEMICAL INDUSTRIES, LTD	12/28/1995	
	B4	WO	97/36859		G.D. SEARLE & CO.	10/09/1997	
	B5	WO	96/22966		BIAGEN, INC.	08/01/1996	
	B6	DE	19654483		MERCK PATENT GMBH	01/02/1998	
	B7	WO	99/06435		ATHENA NEUROSCIENCES INC.	02/11/1999	
	B8	WO	97/23451		MERCK PATENT GMBH	03/07/1997	
	B9	WO	98/58902		TANABE SEIYAKU CO., LTD.	12/30/1998	
	B10	WO	97/36859		G.D. SEARLE & CO.	10/09/1997	
	B11	WO	99/06435		ATHENA NEUROSCIENCES, INC.	02/11/1999	
	B12	WO	99/06433		ATHENA NEUROSCIENCES, INC.	02/11/1999	
	B13	WO	99/06434		ATHENA NEUROSCIENCES, INC.	02/11/1999	
	B14	WO	99/06437		ATHENA NEUROSCIENCES, INC.	02/11/1999	
	B15	WO	98/53814		MERCK & CO., INC.	12/03/1998	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation or abstract is attached.



Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Application Number	10/828,771
				Filing Date	April 21, 2004
				First Name Inventor	Chen et al.
				Group Art Unit	1624
				Examiner Name	Raymond, Richard L.
Sheet	2	of	2	Attorney Docket Number	11590 US4

NON PATENT LITERATURE DOCUMENTS

Examiner Initials ⁷	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	C1	Patani, et al. Chem. Reviews Vol. 96, No. 8, 1996 pgs. 3147-3176	
	C2	Abstract corresponding to DE19654483	
	C3	Abstract corresponding to DE 19548709 (B1)	
	C4	Patent Abstracts of Japan Vol. 013, No. 029 (C-562), 23, January 1989 – JP 63233963 A, Showa Denko	
	C5	Abstract corresponding to JP63233963	

Examiner Signature		Date Considered	
-----------------------	--	-----------------	--

⁷EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation or abstract is attached.